BUSINESS CONTINUITY PLAN SUMMARY

AURELIUS FINANCE COMPANY LIMITED. has developed a Business Continuity Plan on how we will respond to events that significantly disrupt our business. Since the timing and impact of disasters and disruptions is unpredictable, we will have to be flexible in responding to actual events as they occur. With that in mind, we are providing you with this information on our business continuity plan.

Contacting Us - If after a significant business disruption, you cannot contact us as you usually do or at 212-702-6500, you should call our alternative emergency number (+44 20 38076 494) or go to our website at **https://aureliustrust.com/**.   If cannot access us through any of those means, you should contact our clearing firm Pershing, Inc. at +44 20 38076 494 (Purchase and Sales) and 888-878-3132 (Pershing IT) or 888-367-2565 (general customer services) for instructions on how it may accommodate you.   You can also access Pershing's BCP and Other Disclosures website at www.pershing.com/disclosures.   Pershing may provide in the event of a significant business disruption, the following services: prompt access to funds and securities, enter orders and process other trade-related, cash and security transfer transactions.

Our Business Continuity Plan - We plan to quickly recover and resume business operations after a significant business disruption and respond to safeguarding our employees and property, making a financial and operational assessment, protecting the firm's books and records, and allowing our customer to transact business. In short, our business continuity plan is designed to permit our firm to resume operations as quickly as possible, given the scope and severity of the significant business disruption.

Our business continuity plan addresses: data backup and recovery; all mission critical systems; financial and operational assessments; alternative communications with customers, employees, and regulators; alternate physical location of employees; critical supplier, contractor, bank and counter-party impact; regulatory reporting; and assuring our customers prompt access to their funds and securities if we are unable to continue our business.

Our clearing firm, Pershing, Inc., backs up our important records in a geographically separate area. While every emergency poses unique problems based on external factors, such as time of day and the severity of the disruption, our clearing firm has advised us that its objective is to restore its own operations and be able to complete transactions and accept new transactions and payment within 24 hours. Your orders and requests for funds and securities could be delayed during this period.

Varying Disruptions - Significant business disruptions can vary in their scope, such as only our firm, a single building housing our firm, the business district where our firm is located, the city where we are located, or the whole region. Within each of these areas, the severity of the disruption can also vary from minimal to severe. In a disruption only to our firm or a building housing our firm, we will transfer our operations to a local site when needed and expect to recover and resume business within an anticipated 24 hours' time. In a disruption affecting our business district, city or region, we will transfer our operations to a site outside of the affected area, and recover and resume business within an anticipated 24 hours' time. In either situation, we plan to continue in business, transfer operations to our clearing firm if necessary, and notify you through our website www.breancapital.com or our customer emergency number, +44 20 38076 494 on how to contact us. If the significant business disruption is so severe that it prevents us from remaining in conducting business, we will assure our customer's prompt access to their funds and securities.

For More Information - If you have questions about our business continuity planning, you can contact us at +44 20 38076 494 or **https://aureliustrust.com/**.

AURELIUS FINANCE COMPANY LIMITED.. Member of FINRA and SIPC.